

9/18/03

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE
FORM PTO-1449

Sheet 1 of 1

LIST OF PRIOR ART CITED BY APPLICANT

| | |
|---|---|
| ATTY. DOCKET: 17259(AP) | SERIAL NO.: 09/294,980 10/667,998 |
| APPLICANT: Dolly et al | TITLE: COMPOSITIONS AND METHODS FOR MODULATING NEURAL SPROUTING |
| FILING DATE: APRIL 19, 1999 9/18/2003 | GROUP: NOT ASSIGNED 1632 |

U.S. PATENT DOCUMENTS

| *EXAMINER INITIAL | | DOCUMENT NO. | DATE | NAME | CLASS | SUB-CLASS | FILING DATE (if applicable) |
|----------------------|----|--------------|------|------|-------|-----------|--------------------------------|
| | AA | | | | | | |
| | AB | | | | | | |
| | AC | | | | | | |
| | AD | | | | | | |
| | AE | | | | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NO. | DATE | COUNTRY | CLASS | SUB-CLASS | TRANSLATION (yes/no) |
|-----|----|--------------|-----------|---------|-------|-----------|-------------------------|
| AMF | AH | 95/32738 | 12/7/1995 | PCT | | | |

OTHER PRIOR ART

(Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|-----|----|---|
| AMF | AT | J. Dutton, "Acute and Chronic Effects of Botulinum Toxin in the Management of Blepharospasm", <u>Neurological Disease and Therapy</u> , pgs. 199-209, 25 (Jankovic J. & Hallett M. eds. 1994) |
| AMF | AU | Tonello et al, "Tetanus and Botulism Neurotoxins a Novel Group of Zinc-Endopeptidases", <u>Adv. Exp. Med. & Biol.</u> 388: pgs. 251-260 (1996) |
| AMF | AV | Colfield et al., "The Site and Mechanism of Action of Botulinum Neurotoxin", <u>Neurological Disease and Therapy</u> , pgs. 3-13, 25 (Jankovic J. & Hallett M. eds. 1994) |
| AMF | AW | Araki et al, "Mechanism of Homophilic Binding Mediated by Ninturin, a Novel Widely Expressed Adhesion Molecule", <u>The Journal of Biological Chemistry</u> , Vol. 272, No. 34, pgs. 21373-21380 (1997) |
| AMF | AY | Caroni et al, "Role of Muscle Insulin-like Growth Factors in Nerve Sprouting: Suppression of Terminal Sprouting in Paralyzed Muscle by IGF-binding Protein 4", <u>The Journal of Cell Biology</u> , Vol. 125, No. 4, pgs. 893-902 (1994) |
| AMF | AZ | Caroni et al, <u>The Journal of Neuroscience</u> , "Signaling by Insulin-like Growth Factors in Paralyzed Skeletal Muscle: Rapid Induction of IGF1 Expression in Muscle Fibers and Prevention of Interstitial Cell Proliferation by IGF-BP5 and IGF-BP4", 14: pgs. 3378-3388 (1994) |
| AMF | BA | Ruegg et al, <u>Trends Neurosci.</u> "Agnn orchestrates synaptic differentiation at the vertebrate neuromuscular junction", 21: pgs. 22-27 (1998) |
| AMF | BB | DePaiva et al, "Functional repair of motor endplates after botulinum neurotoxin type A poisoning: Biphasic switch of synaptic activity between nerve sprouts and their terminals", <u>Proc. Natl Acad. Sci. USA</u> , Vol. 96: pgs. 3200-3205 (March 1999) |
| AMF | BC | T. Cech, <u>Current Opinion in Structural Biology</u> , "Ribozyme engineering", 2: pgs. 605-609 (1992) |
| AMF | BD | Borrodic et al, "Pharmacology and Histology of the Therapeutic Application of Botulinum Toxin, pgs. 118-157, Chapter 10, <u>Therapy with Botulinum Toxin</u> (Jankovic J. & Hallett M. eds. 1994) |
| AMF | BE | Usman et al, <u>Nucleic Acids & Molecular Biology</u> , "Design, Synthesis, and Function of Therapeutic Hammerhead Ribozymes", Vol. 10: pgs. 243-264 (1996) |
| AMF | BF | Uharek et al, "A Modular DNA Carrier Protein Based on the Structure of Diphtheria Toxin Mediates Target Cell-specific Gene Delivery", <u>The Journal of Biological Chemistry</u> , Vol. 273, No. 15, pgs. 8835-8841 (1998) |
| AMF | BG | Nedivi et al, "Promotion of Dendritic Growth by CPG15, an Activity-Induced Signaling Molecule", <u>Science</u> Vol. 281, 18 September 1998, pgs. 1863-1866 |

EXAMINER /Anne Marie Falk/ DATE CONSIDERED 09/27/2006

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.